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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/511,102 ✓
Filing Date	October 14, 2004
First Named Inventor	Shinji TSUCHIKAWA et al
Art Unit	
Examiner Name	
Attorney Docket Number	TSUK0010

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
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<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement

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under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
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(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please identify
below): |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm Name **GRIFFIN & SZIPL, P.C.**

Signature

Printed name **Joerg-Uwe Szipl**Date **October 25, 2004**Reg. No. **31,799****CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Attorney Docket No.: TSUK0010
Shinji TSUCHIKAWA et al) Confirmation No. Unassigned
Serial No.: 10/511,102) Group Art Unit: Unassigned
Filed: October 14, 2004) Examiner: Unassigned
For: THERMOSETTING RESIN) Date: October 25, 2004
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SAME)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, this Information Disclosure Statement in connection with the above-identified application is being filed in accordance with 37 C.F.R. §1.97(b):

- ☐ within three months of the filing date of this application (not a C.P.A.);
- ☒ within three months of the date of entry of the National Stage;
- ☐ before the mailing date of a first Office Action on the merits; or
- ☐ before the mailing of a first Office Action on the merits of, after the filing of a Request for Continued Examination (RCE) under §1.114.

A copy of each document listed on the attached Forms PTO/SB/08A and PTO/SB/08B is attached. In accordance with Official Gazette Notice dated August 5, 2003, copies of the U.S. patents and patent application publications are not attached.

Documents B and I relate to flame retarding thermosetting compositions, and Document B is the U.S. Patent equivalent of Document I. Document C relates to synergistic flame protection agent combination for thermoplastic polymers, and is the U.S. Patent equivalent of Documents J and L. The relevance of each of these documents is believed to be clear from either

their abstracts or their U.S. Patent equivalent. Accordingly, no further comment with regard to the disclosures of these documents is believed to be required.

Attached as Document M is a copy of the Search Report issued in the corresponding PCT Application No. PCT/JP03/04799. Documents A and F-H were cited in said Search Report and it is believed that the relevancy of each document cited is clear from the Search Report. Document D is a U.S. Patent equivalent of Document G. Document E is a U.S. Patent equivalent of Document H, and Document K is the International Application corresponding to Documents E and H. Accordingly, no further comment with regard to the disclosures of these documents is believed to be required.

It is respectfully requested that the attached documents be considered and officially cited, and that a copy of Forms PTO/SB/08A and PTO/SB/08B, be initialed by the Examiner and returned to the undersigned to indicate that the documents have been considered.

It is believed that the present Information Disclosure Statement complies with the requirements of 37 C.F.R. §§ 1.97-8, but should the filing of this paper necessitate a fee, the Director is hereby authorized to charge the necessary fee to Deposit Account No. 50-1281.

Respectfully submitted,

GRIFFIN & SZIPL, PC



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	3
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Complete if Known

Application Number	10/511,102
Filing Date	October 14, 2004
First Named Inventor	Shinji Tsuchikawa et al
Art Unit	
Examiner Name	
Attorney Docket Number	TSUK0010

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
	F	JP 2002-161211	06/04/2002	Daicel Chem Ind Ltd		
	G	EP 1 024 167 A1	08/02/2000	Clariant GmbH		
	H	JP 2001-525327	12/11/2001			
	I	JP 2000-219775	08/08/2000	Clariant GmbH		
	J	WO 97/39053	10/23/1997	Clariant GmbH		
	K	WO 99/28327	06-10-1999	Clariant GmbH		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	3
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U. S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	L	JP 2000-508365	04/07/2000	Jeneweine et al.		

Examiner Signature		Date Considered	
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Application Number 10/511,102

Filing Date October 14, 2004

First Named Inventor Shinji Tsuchikawa et al

Art Unit

Examiner Name

Attorney Docket Number TSUK0010

Sheet 3

of 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	M	International Search Report, completed 16 July 2003, for corresponding International Application No. PCT/JP03/04799.	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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